# **OEO\_Hearing Training Update\_OEO Webiste**

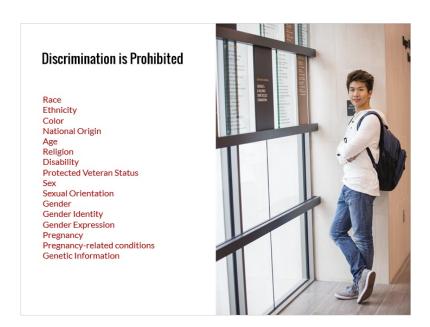
# 1. 1 Introduction/ Understanding Discrimination

# 1.1 WELCOME LAYOUT



Notes:

#### 1.2 Introduction



#### **Notes:**

University community members should expect an experience free from discrimination and misconduct. The university has policies, based on federal laws, that prohibit discrimination based on race, ethnicity, color, national origin, age, religion, disability, protected veteran status, sex, sexual orientation, gender identity, gender expression, and genetic information.

The university's Office of Equal Opportunity And Affirmative Action (OEO/AA) is dedicated to providing an equal opportunity for all to pursue their academic and professional endeavors and to equally access University of Utah Programs.

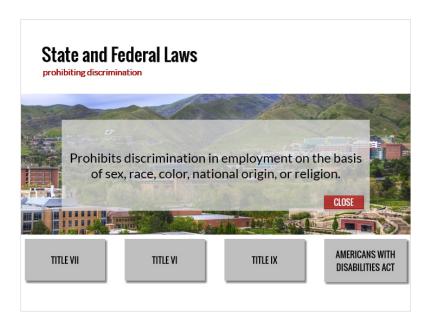
#### 1.3 Discrimination Laws



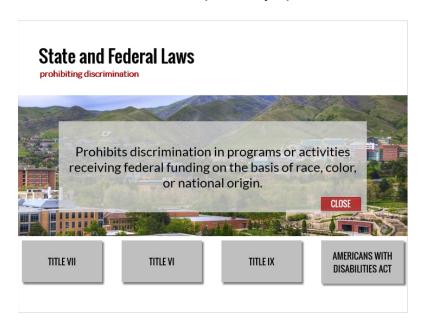
#### **Notes:**

Click on each box to learn more about a few of the laws that form the basis of the university's no-discrimination policies.

# **Discrimination Laws - TVII (Slide Layer)**



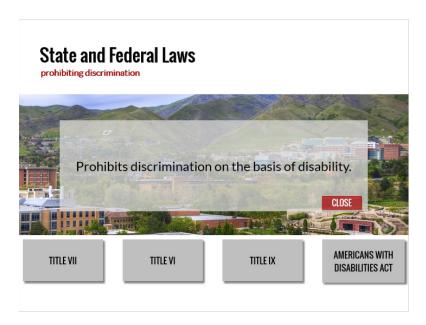
# **Discrimination Laws - TVI (Slide Layer)**



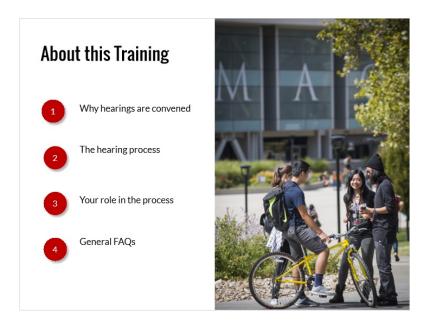
# **Discrimination Laws - TIX - Copy (Slide Layer)**



#### **Discrimination Laws - ADA (Slide Layer)**



# 1.4 About this Training



#### Notes:

This annual training is for individuals who may be called to participate as a member of a hearing committee as a student, staff, or faculty. In this training we will cover:

Why hearings are called The Hearing process Your role in the process General FAQs

#### 1.5 Hearing Roles

# **Hearing Roles**

 $\textbf{Complainant:} \ The \ individual \ who \ allegedly \ experienced \ discrimination \ in \ violation \ of \ University \ policy.$ 

Respondent: The person(s) alleged to have engaged in prohibited discrimination.

Hearing Coordinator: Secretary of the committee who facilitates committee work.

Hearing Chair: Individual selected to lead a hearing committee.

OEO Consultant: OEO employee who investigated the complaint of discrimination. Advisors: An individual of the complainant's or respondent's choice, who may or may not be an attorney, to be present and assist during a hearing. In sexual misconduct cases, the university will appoint an advisor if the party does not have one. Support Person: An individual of the complainant's or respondent's choosing, who may provide support during the hearing, but will not participate in the hearing

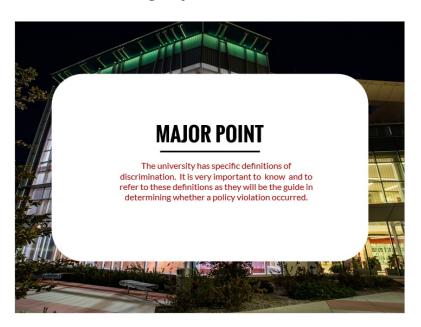
Witness: Individual with knowledge of the issue and are called to provide information the hearing.



#### Notes:

In addition to the committee members, there are others who may participate in the hearing processes. Take a moment to familiarize yourself with individuals who may be present during the hearing.

# 1.6 Understanding Definitions



#### **Notes:**

The university has specific definitions of discrimination. It is very important know and refer to these definitions as they will be the guide in determining whether a policy violation occurred.

#### 1.7 What is Discrimination

# Discrimination

Policy 1-012

Discrimination means treating someone differently, i.e., **disadvantaging the person**, on the basis of being a member of a protected class described in university policy when:

- such conduct adversely affects a term or condition of an individual's employment, education, living environment, or participation in a university program or activity; or
- a person's membership in a protected class is used as the basis for or a
  factor in decisions affecting that individual's employment, education,
  living environment, health care, or other participation in a university
  program or activity.



# 1.8 Examples of Discrimination

# **Examples of Discrimination**

- Sydney was denied a promotion because her supervisor knew she was pregnant.
- Eduardo's co-workers keep on referring to him as Jose because he is Hispanic.
- James, who is 62, was not selected to work on a project because his supervisor said he didn't think someone his age could keep up.
- Dawnell, who has a documented learning disability, was not allowed to have more time to complete her final exam.
- Fatima believes that she is being treated differently because she wears a hajib.



#### Notes:

#### 1.9 Sexual Misconduct

# **Sexual Misconduct**

A range of behaviors that are sexual in nature which are unwanted or uninvited:

- Sexual and gender-based harassment
- · Intimate partner violence
- Nonconsensual sexual contact
- Nonconsensual sexual
- penetration
- Sexual exploitation
- · Sexual violence



#### Notes:

Sexual misconduct is another form of discrimination. Sexual misconduct is a term from a range of behaviors that are sexual in nature which are unwanted or uninvited. Behaviors that are categorized as sexual misconduct include:

- Sexual harassment
- •Intimate partner violence
- Nonconsensual sexual contact
- Nonconsensual sexual penetration
- Sexual exploitation
- Sexual violence

Let's take a few minutes to learn about each type of sexual

#### misconduct

#### 1.10 What is Sexual Harassment

#### Sexual or Gender-Based Harassment

 Quid Pro Quo- An employee conditioning the provision of an aid, benefit, or service on an individual's participation in unwelcome sexual conduct

#### OR

- Unwelcome conduct that is sexual in nature or based on someone's sex/gender and determined by a reasonable person to be:
  - a) so severe, pervasive, and objectively offensive that it effectively denies a person equal access to the University's programs or activities; or
  - b) is sufficiently severe or pervasive that it has the purpose or effect of interfering with or creating an intimidating, hostile or offensive environment in a university program or activity.



#### Notes:

Sexual misconduct may include sexual misconduct. Sexual harassment is when An employee conditioning the provision of an aid, benefit, or service on an individual's participation in unwelcome sexual conduct (quid pro quo)

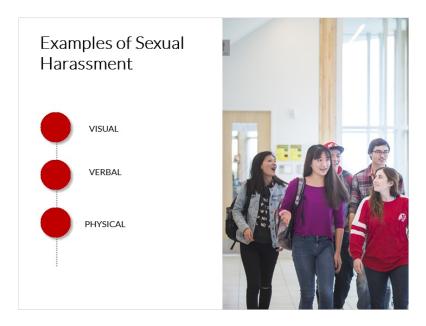
#### Or

Unwelcome conduct determined by a reasonable person to be:

- a) so severe, pervasive, and objectively offensive that it effectively denies a person equal access to the University's programs or activities; or
- b) is sufficiently severe or pervasive that it has the purpose or effect of interfering with or creating an intimidating, hostile or

offensive environment in a university program or activity.

# 1.11 Examples of Sexual Harassment



#### Notes:

Click on each button to learn more about sexual harassment.

# **Visual Harassment (Slide Layer)**



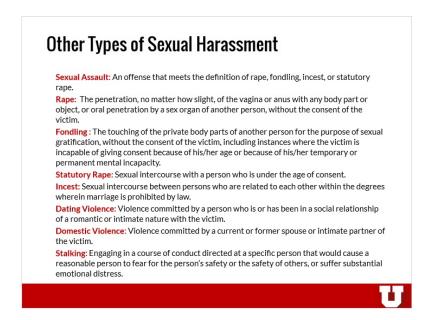
# **Verbal Harassment (Slide Layer)**



#### **Physical (Slide Layer)**



#### 1.12 Sexual Harassment Definitions, 2

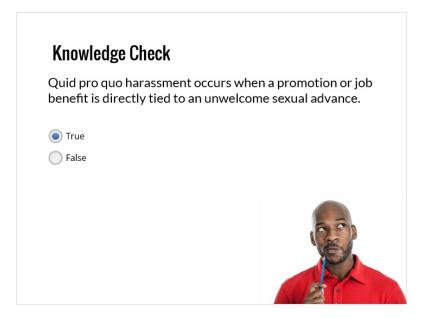


#### Notes:

Sexual Harassment also includes behaviors that may also be violations of criminal law.

# 1.13 Quid pro quo harassment occurs when a promotion or job benefit is directly tied to an unwelcome sexual advance.

(True/False, 1 points, 1 attempt permitted)



Correct	Choice
Х	True
	False

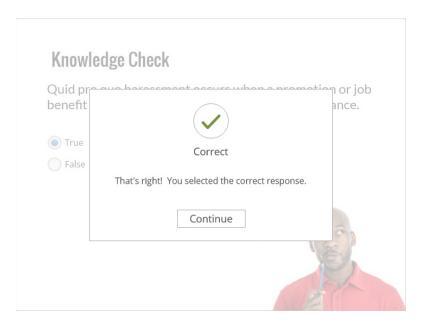
#### Feedback when correct:

That's right! You selected the correct response.

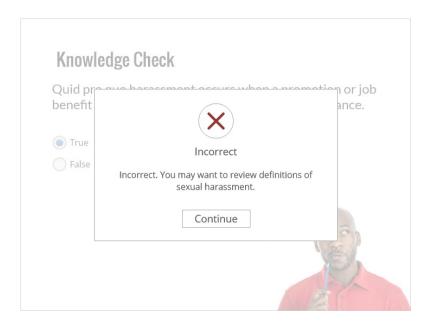
#### Feedback when incorrect:

Incorrect. You may want to review definitions of sexual harassment.

# **Correct (Slide Layer)**



# **Incorrect (Slide Layer)**



1.14 If sexual harassment occurred at a university-sponsored after-hours party, the university-affiliated person can be held responsible.

(True/False, 1 points, 1 attempt permitted)

# Knowledge Check If sexual harassment occurred at a university-sponsored after-hours party, the university-affiliated person can be held responsible. True False

Correct	Choice
Х	True
	False

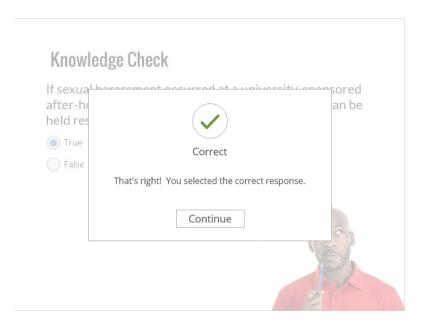
#### Feedback when correct:

That's right! You selected the correct response.

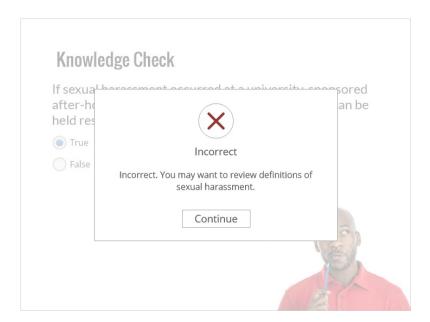
#### Feedback when incorrect:

Incorrect. You may want to review definitions of sexual harassment.

# **Correct (Slide Layer)**



# **Incorrect (Slide Layer)**

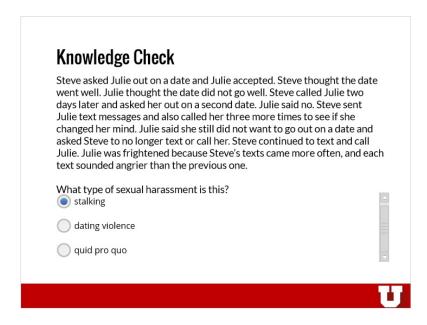


1.15 Steve asked Julie out on a date and Julie accepted. Steve thought the date went well. Julie thought the date did not go well. Steve called Julie two days later and asked her out on a second date. Julie said no. Steve

sent Julie text messages and also called her three more times to see if she changed her mind. Julie said she still did not want to go out on a date and asked Steve to no longer text or call her. Steve continued to text and call Julie. Julie was frightened because Steve's texts came more often, and each text sounded angrier than the previous one.

#### What type of sexual harassment is this?

(Multiple Choice, 1 points, 1 attempt permitted)



Correct	Choice
Х	stalking
	dating violence
	quid pro quo

#### Feedback when correct:

That's right! You selected the correct response.

#### Feedback when incorrect:

Incorrect. This type of harassment is stalking.

# **Correct (Slide Layer)**



#### **Incorrect (Slide Layer)**



#### 1.16 Intimate Partner Violence



# Other Types of Sexual Misconduct

#### **Intimate Partner Violence**

Actual or threatened physical violence, intimidation, or other forms of emotional, physical or sexual abuse that would cause a reasonable person to fear harm to self or others. For this policy, "intimate relationship" means marriage, domestic partnership, engagement, casual or serious romantic involvement, and dating, whether in a current or former relationship. Intimate Partner Violence can occur between persons of any sex, sexual orientation, gender, gender identity, or gender expression, and it can occur in any type of intimate relationship including monogamous, non-committed, and relationships involving more than two partners. Intimate Partner Violence can be a single act or a pattern of behavior. Intimate Partner Violence is sometimes referred to as, and includes behaviors that would be considered, dating violence, domestic violence, or relationship abuse. Examples of Intimate Partner Violence include, but are not limited to, situations in which the following behaviors are directed toward a partner in a current or former intimate relationship; hitting, kicking, strangling, or other violence; property damage; and threat of violence to one's self, one's partner, or the family members, friends, pets, or personal property of the partner.

# 1.17 Non Consensual Contact/Penetration



# Other Types of Sexual Misconduct

#### Nonconsensual Contact or Penetration

Nonconsensual Sexual Contact means any physical contact with another person of a sexual nature without that person's consent. The touching of a person's intimate parts (such as genitalia, groin, breast, buttocks, mouth, or clothing covering same); touching a person with one's own intimate parts; or forcing a person to touch another's intimate parts would be violations of this policy if they occur without consent.

Nonconsensual Sexual Penetration means the sexual penetration of any bodily opening with any object or body part without consent. This could be committed by force, threat, intimidation, or through exploitation of another's mental or physical condition (such as lack of consciousness, incapacitation due to drugs or alcohol, age, or disability) of which the respondent was actually aware or which a reasonable person in the respondent's position should have been aware.

#### 1.18 Sexual Exploitation



# Other Types of Sexual Misconduct

#### **Sexual Exploitation**

Taking sexual advantage of another person and includes, without limitation: indecent exposure; causing or attempting to cause the incapacitation of another person in order to gain a sexual advantage over that person; causing the prostitution of another person; recording, photographing, or transmitting images of private sexual activity and/or the intimate parts of another person without consent; allowing third parties to observe private sexual acts without consent; engaging in voyeurism without consent; and knowingly or recklessly exposing another person to a significant risk of sexually transmitted infection, including HIV.

# 1.19 Match each description to the right type of sexual misconduct.

(Matching Drop-down, 1 points, 1 attempt permitted)

Match each description to the right type	e of sexual misconduct.
1. Taylor and Kennedy have been dating for six months. Taylor frequently hits Kennedy.	Intimate Partner Violence
2. Jordan's coworker, Alex, comes up behind her and kisses her on the neck.	Nonconsensual Contact
<ol> <li>During a party off campus, another student placed his penis in Cameron's mouth without his consent.</li> </ol>	Nonconsensual Penetration •
4. Brett found out that their former partner put video of them having sex online.	Sexual Exploitation

Correct	Choice
Taylor and Kennedy have been dating for six months. Taylor frequently hits Kennedy.	Intimate Partner Violence
2. Jordan's coworker, Alex, comes up behind her and kisses her on the neck.	Nonconsensual Contact
3. During a party off campus, another student placed his penis in Cameron's mouth without his consent.	Nonconsensual Penetration
4. Brett found out that their former partner put video of them having sex online.	Sexual Exploitation

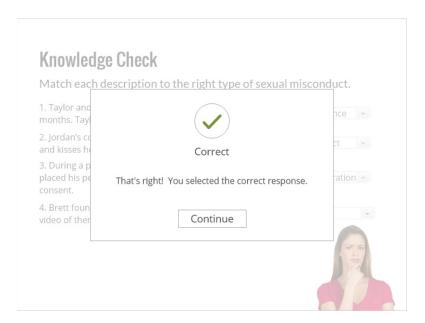
#### Feedback when correct:

That's right! You selected the correct response.

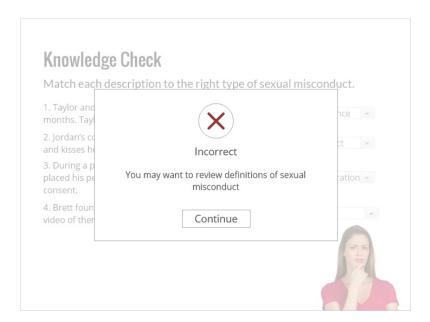
#### Feedback when incorrect:

You may want to review definitions of sexual misconduct

# **Correct (Slide Layer)**



# **Incorrect (Slide Layer)**



# 1.20 Section Wrap-Up

# **Section Wrap-Up**

- Discrimination means treating someone differently, i.e., disadvantaging the person, on the basis of being a member of a protected class in employment, education, or other university activities.
- Protected class categories are: race, ethnicity, color, national origin, age, religion, disability, protected veteran status, sex, sexual orientation, gender identity, gender expression, and genetic information.
- Sexual misconduct is an umbrella term for behaviors of a sexual nature that are unwanted and uninvited, and includes: sexual harassment, intimate partner violence, nonconsensual sexual contact, nonconsensual sexual penetration, sexual exploitation.



**Notes:** 

# 1.21 Section Completed



Notes:

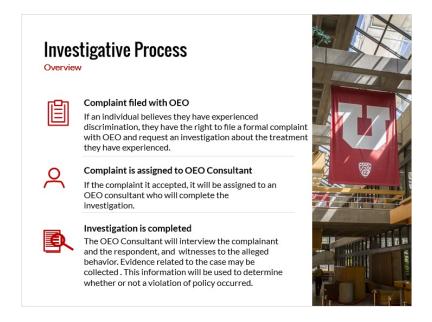
# 2. Hearing Prcocess

# 2.1 Module 2: The Hearing Proccess



Notes:

#### 2.2 Investigative Process Overview



#### Notes:

If an individual believes they have experienced discrimination, they have the right to file a formal to the Office of Equal Opportunity, Affirmative Action, and Title IX (also known as OEO) and request an investigation about the treatment they have experienced.

If the complaint it accepted, it will be assigned to an OEO consultant who will complete the investigation.

During the course of the investigation, the consultant will interview the complainant and the respondent in the case. Witnesses to the alleged behavior will also be interviewed, and evidence related to the case may be collected such as These include performance evaluations, student transcripts, disciplinary action records, informal reprimands, and other

written documentation. This information will be used to determine whether or not a violation of policy occurred.

The next steps will be based on the type of discrimination complaint being investigated.

#### 2.3 Non Sexual Misconduct Cases



#### Notes:

If the allegation of discrimination does not involve sexual misconduct:

After the investigation is completed, the consultant will issue a written report detailing their findings- that either there was a policy violation- known as a cause finding, or that there was insufficient evidence of a policy violation.

This report is sent to both the complaint and respondent in the case and they get to submit any responses or requests for additional investigation prior to the report becoming final.

If either the complainant or the respondent disagree with the findings of the final report, they may request a hearing to review those findings.

#### 2.4 Sexual Misconduct Cases



#### Notes:

If the allegation of discrimination does involve sexual misconduct:

After the investigation is completed, the OEO Consultant will

issue a draft written report that will include a summary of the evidence gathered and whether that evidence supports a recommendation about whether sexual misconduct occurred. The parties will be given 10 days to inspect and review the draft report, including all directly related evidence. The parties may submit responses to the draft report within that 10 days, prior to the report becoming final. Once the report is final, the parties will be given at least 10 days to inspect and review the final report and evidence, and can refer to that evidence during the hearing.

Next, an appropriate administrator such as supervisor, chair, dean or vice president will review the information and prepare a sanctioning recommendation.

A hearing committee will always convene for sexual misconduct cases. The committee will be the decision-maker with regard to determining whether or not sexual misconduct occurred, determine an appropriate sanctions or corrective measures for the complainant, and remedies for the complainant.

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## 2.5 Selecting Committee Members

# **Selecting Committee Members**

 Once a hearing of any type is scheduled, the hearing coordinator will select three individuals from a pool of eligible committee members.



- The committee will consist of the committee chair, and one committee member of the same status as the complainant (student, staff, or faculty), and one committee member of the same status as the respondent (student, staff, or faculty).
- If you are selected to participate on a hearing committee, you will be invited via email. This email will contain the names of the complainant and respondent.

#### Notes:

Once a hearing of any type is scheduled, the hearing coordinator, who is an administrative assistant in the Office of General Counsel, will select three individuals from a pool of eligible committee members who have previously served on a committee.

The committee will consist of the committee chair, and one committee member of the same status as the complainant (student, staff, or faculty), and one committee member of the same status as the respondent (student, staff, or faculty).

If you are selected to participate on a hearing committee, you

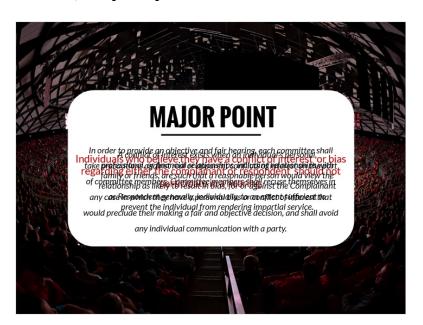
will be invited via email. This email will contain the names of the complainant and respondent.

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## 2.6 Bias/Conflict of Interest



#### Notes:

Individuals who believe they have a special relationship, conflict of interest, or bias regarding either the complainant or respondent, they should not participate in a hearing.

University policy states: In order to provide an objective and fair hearing, each committee shall take precautions against real or apparent conflicts of interest on the part of Committee members. Committee members shall recuse themselves in any case in which they have a personal bias or

conflict of interest that would preclude their making a fair and objective decision, and shall avoid any individual communication with a party.

Policy also defines conflict of intersterest as: Any conduct or activity constitutes a conflict of interest when an individual's personal, professional, or financial relationships, including relationships with family or friends, or bias, for or against complainants or respondents generally, or an individual complainant or respondent, which a reasonable person would view as sufficient to prevent the individual from rendering impartial service.

2.7 Last semester, you had a class with the respondent in this case. You completed a group assignment with them, and have a hard time believing they would engage in any kind of sexual misconduct. Do you need to recuse yourself?

(True/False, 1 points, 1 attempt permitted)

# **Knowledge Check**

Last semester, you had a class with the respondent in this case. You completed a group assignment with them, and have a hard time believing they would engage in any kind of sexual misconduct. Do you need to recuse yourself?

Yes

O No



Correct	Choice
Х	Yes
	No

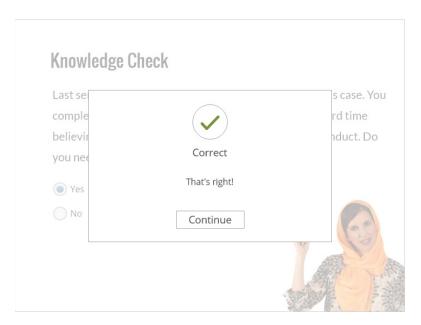
#### Feedback when correct:

That's right!

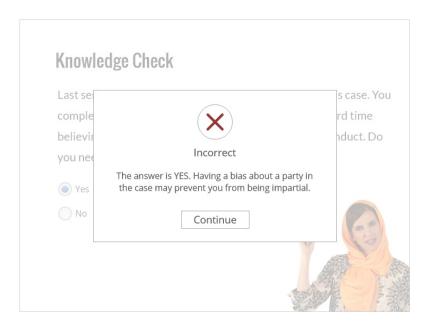
#### Feedback when incorrect:

The answer is YES. Having a bias about a party in the case may prevent you from being impartial.

# **Correct (Slide Layer)**

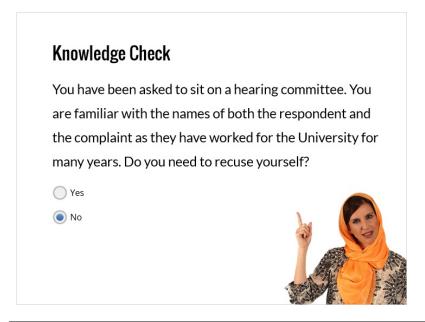


# **Incorrect (Slide Layer)**



2.8 You have been asked to sit on a hearing committee. You are familiar with the names of both the respondent and the complaint as they have worked for the University for many years. Do you need to recuse yourself?

(True/False, 1 points, 1 attempt permitted)



Correct	Choice
	Yes
Х	No

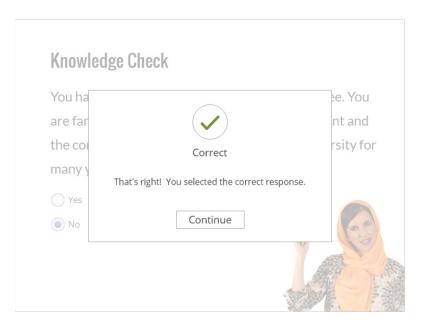
#### Feedback when correct:

That's right! You selected the correct response.

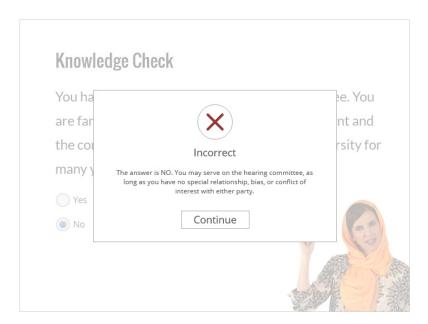
#### Feedback when incorrect:

The answer is NO. You may serve on the hearing committee, as long as you have no special relationship, bias, or conflict of interest with either party.

# **Correct (Slide Layer)**



# **Incorrect (Slide Layer)**



#### 2.9 Committee Expecations

# **Committee Expectations**

- Avoid pre-judgment of facts: Committee members must objectively evaluate all relevant evidence, and ensure that credibility determinations not be based upon a person's status as a complainant, respondent or witness.
- Committee members must presume that the respondent is not responsible for the alleged conduct until a determination regarding responsibility has been made at the conclusion of the hearing process.
- Avoid stereotypes: Committee members must not rely on stereotypes related to protected classes in the deliberation process. Committee members are expected to be aware of implicit and unconscious biases.
   Committee should not rely on sex stereotypes in sexual misconduct cases.



#### **Notes:**

Serving on a hearing committee to determine if university discrimination policies were violated is a very significant assignment. In order to complete duties appropriately as a member of this committee, there are certain expectations that must be followed:

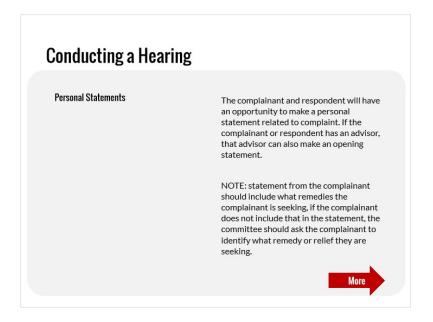
Avoid prejudgment of facts: Committee members must objectively evaluate all relevant evidence, and ensure that credibility determinations not be based upon a person's status as a complainant, respondent or witnesses.

Committee members must presume that the respondent is not responsible for the alleged conduct until a determination regarding responsibility has been made at the conclusion of the hearing process.

Avoid stereotypes: Committee members must no rely

stereotypes related to protected classes in the deliberation processes. Committee members are expected to be aware of implicit and unconscious biases.

# 2.10 Conducting a Hearing



#### **Notes:**

No Audio

# 2.11 Conducting a Hearing

Conducting a Hearing, continued		
Calling Witnesses/ Reviewing Evidence	The complainant, respondent, and committee will have an opportunity to call and question witnesses, present evidence, make closing remarks.	
Committee Q&A	Committee members will have the opportunity to ask questions of any parties or witnesses present.	
Cross Examination	Parties will have an opportunity to cross- examine each other and witnesses through their advisors.	
	·	

#### Notes:

No Audio

# 2.12 Conducting a Hearing

Conducting a Hearing, continued	
Sanction Recommendation	Respondent's administrator will speak about recommendations for sanctions or corrective measures.
Deliberation	The committee will review and discuss the information presented vote to uphold or overturn the findings and/recommendations made in the OEO report.
Report	Within 10 calendar days of the hearing, the committee will provide its written report simultaneously to the parties, their advisors, and the OEO.

No Audio

#### 2.13 Questioning

# **Questioning Witnesses**



- · Respectful and non-confrontational,
- Relevant to facts asserted and limited to necessary details
- · Non-repetitive
- Non-judgmental. Judgment is made only after all of the facts submitted and weighed under the preponderance of the evidence standard.

"Will the answer to the question help to determine a fact at issue?"

10

"Am I seeking information to satisfy curiosity about a person or the event?"

#### Notes:

As part of the hearing process, you will be able to ask questions to the complainant and respondent about the complaint. Any questioning, regardless of the type of alleged discrimination, should be

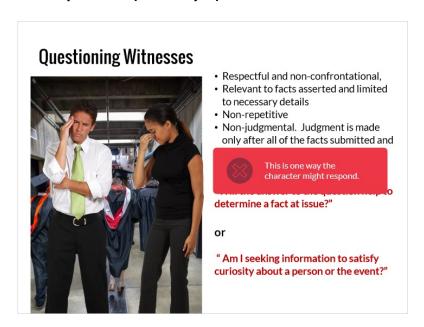
- · Respectful and non-confrontational,
- · Relevant to facts asserted and Limited to necessary details
- Non-repetitive
- · Non-judgmental. Judgment is made only after all of the

facts submitted and weighed under preponderance of the evidence standard.

As you are considering questions, ask yourself, "Will the answer to the question help to determine a fact at issue?" or

"Am I seeking information to satisfy curiosity about a person or the event?"

#### **Consequence A (Slide Layer)**



#### **Consequence B (Slide Layer)**

# **Questioning Witnesses**



- · Respectful and non-confrontational,
- Relevant to facts asserted and limited to necessary details
- · Non-repetitive
- Non-judgmental. Judgment is made only after all of the facts submitted and weighed under the preponderance of the evidence standard.

"Will the answer to the question help to



This is the second way the character might respond.

"Am I seeking information to satisfy curiosity about a person or the event?"

# **Consequence C (Slide Layer)**

# **Questioning Witnesses**



- · Respectful and non-confrontational,
- Relevant to facts asserted and limited to necessary details
- · Non-repetitive
- Non-judgmental. Judgment is made only after all of the facts submitted and weighed under the preponderance of the evidence standard.

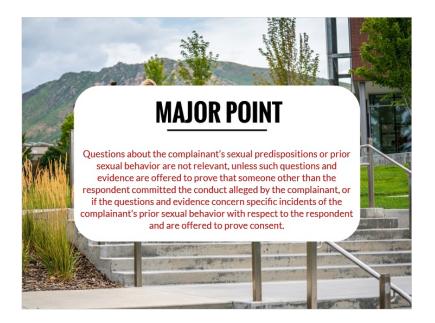
"Will the answer to the question help to determine a fact at issue?"

or



This is the third way the

#### 2.14 Questions about Sex



#### Notes:

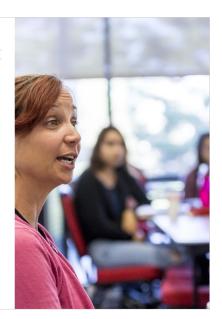
If you are questioning a complainant about sexual misconduct, it is important ask questions in a way that avoids re-traumatizing or victim-blaming the individual who may have experienced the behavior.

Questions about the complainant's sexual predispositions or prior sexual behavior are not relevant, unless such questions and evidence are offered to prove that someone other than the respondent committed the conduct alleged by the complainant, or if the questions and evidence concern specific incidents of the complainant's prior sexual behavior with respect to the respondent and are offered to prove consent.

# 2.15 Confrontational Questioning

#### **Confrontational Questioning**

- Why did you decide to drink so much that night?
- If you didn't want to have intercourse, why did you allow him to touch your breast?
- Why didn't turn away when she tried to kiss you?
- Why didn't scream or call out for help?
- Why didn't tell them directly to stop?
- If you don't remember the details, how are we supposed to understand what happened?



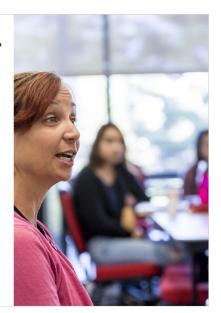
#### **Notes:**

Confrontational questioning should be avoided in hearings. Confrontation questioning may be experienced as hostile, blaming, and may potentially retraumatize individuals who have experienced discrimination, especially sexually misconduct. Starting a question with "why," is good indicator that your question may be confrontational in nature. Here are some examples of questions that may be confrontational.

# 2.16 Confrontational Questioning, 2

# Confrontational Questioning, continued

- Tell me how you gained consent?
- We understand you told OEO you had a lot to drink that night. It would be helpful to understand how much you drank and how that affected you. Could you tell us more about that?
- We understand that your friend offered you a ride from the party when he left. We understand that you decided to stay. Can you tell us more about that decision?



#### Notes:

Next, let's look at examples of more appropriate questions to ask during the hearing.

#### 2.17 Cross Examinations

# **Cross Examinations**

- In sexual misconduct hearings, the complainant's and respondent's chosen
  or appointed advisor will be responsible for conducting cross examinations.
  They will ask their questions through the committee chair, who will relay
  the question to the witness or party.
- In sexual misconduct hearings, If a party or witness does not submit them
  to live questions and cross-examination, the Committee may not use on any
  statement of that party and/or witness testimony provided in the OEO
  report in reaching a determination regarding responsibility.
- In hearings **not involving sexual misconduct**, the parties may ask questions directly to the committee chair without an advisor.



#### Notes:

During the hearing, parties will be able to cross-examine witnesses and the other party. These questions will be directed to the committee chair. The chair will determine if the questions are appropriate, and then ask the question to the witness or party, who will then answer.

In sexual misconduct hearings, the complainant and respondent's chosen or appointed advisor will be responsible for conducting cross examinations. They will ask their questions through the committee chair, who will relay the question to the witness or party.

In sexual misconduct hearings, If a party or witness does not submit them to live questions and cross-examination, the Committee may not use on any statement of that party and/or witness testimony provided in the OEO report in reaching a determination regarding responsibility.

In hearings not involving sexual misconduct, the parties may ask questions directly to the committee chair without an advisor.

#### 2.18 Deliberation

# Deliberation Review relevant discrimination and other university policies and definitions. Review evidence and testimony. Review how each piece of evidence or testimony either supports or does not support the allegation.

#### **Notes:**

Your role as a committee member is to hear all the evidence and information presented at the hearing, weigh that evidence under the preponderance of the evidence standard, and then make a finding about whether that evidence is sufficient to show that there was or was not a policy violation. Deliberation is the process where the committee will discuss the evidence and information presented and come to a consensus about whether that evidence supports or does not support that discrimination or sexual misconduct has occurred.

#### 2.19 Preponderance of the Evidence

# Preponderance of the Evidence more likely than not

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#### Notes:

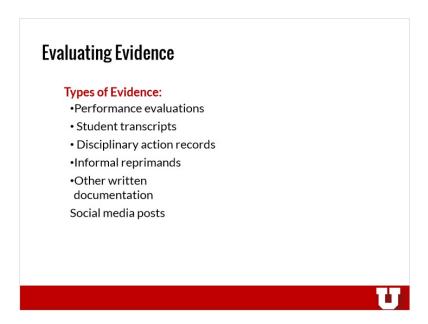
As you weigh the evidence, you will be asked to determine whether the PREPONDERANCE OF THE EVIDENCE supports the allegation or not. *Preponderance of the evidence* is a legal standard meaning "more likely than not," and is the standard OEO uses in determining if the alleged policy violation occurred.

One way to think about determining preponderance of evidence is to use mental scale. If, after hearing all the information, the majority of the information tilts the scale to the side pointing to a policy violation, then a preponderance of the evidence exists to show that there was a policy

violation, which the OEO refers to as a cause finding.

If the majority of the information tips the scale to the direction that there was no policy violation, then the preponderance of evidence shows that there is not enough evidence to show discrimination or sexual misconduct occurred. OEO calls this *insufficient evidence*, meaning that there was insufficient evidence to support the allegation.

#### 2.20 Evaluating Evidence



#### Notes:

There are many pieces of evidence that you may be asked to consider determine whether a preponderance of evidence exists to support the claim of discrimination. These include

performance evaluations, student transcripts, disciplinary action records, informal reprimands, and other written documentation.

#### 2.21 Making a Decision

# **Making a Decision**

#### Committee votes:

- Open ballot
- Secret ballot

#### Written report:

- Identification of the allegations potentially constituting a policy violation.
- A description of the procedural steps taken from the receipt of the formal complaint through the determination, including any notifications to the parties, interviews with parties and witnesses site visits, methods use to gather evidence and hearings held.
- · Findings of fact supporting the determination
- Conclusions regarding the application of the recipient's code of conduct to the facts.
- A statement of, and rationale for, the results as to each allegation, including a determination regarding responsibility, any disciplinary sanctions on the respondent, and whether remedies designed to restore or preserve equal access to the University's education program or activity



#### Notes:

After the committee deliberates, they will vote, either by open or secret a ballot about whether a violation occurred. A majority vote will be required for all decisions.

Within 10 calendar days of the hearing, the committee will write a report to all parties stating their findings about whether or not a policy violation occurred, and if so, whether proposed sanctions are reasonable in light of the circumstances. The decision needs to include:

•Identification of the allegations potentially constituting a

policy violation.

- •A description of the procedural steps taken from the receipt of the formal complaint through the determination, including any notifications to the parties, interviews with parties and witnesses site visits, methods use to gather evidence and hearings held.
- •Findings of fact supporting the determination
- •Conclusions regarding the application of the recipient's code of conduct to the facts.
- •A statement of, and rationale for, the results as to each allegation, including a determination regarding responsibility, any disciplinary sanctions on the respondent, and whether remedies designed to restore or preserve equal access to the University's education program or activity

The hearing chair will be responsible for drafting this report, and the hearing coordination will assist as needed.

#### 2.22 Section Wrap-Up

# **Section Wrap-Up**

- Individuals who believe they have experienced discrimination, they have right to file a formal complaint and request an investigation
- Allegations not involving sexual misconduct will go to hearing only if one of the parties makes hearing request after an OEO consultant has made a finding about whether university policy was violated.
- Allegations involving sexual misconduct will always have a hearing to determine whether university policy was violated.
- Hearings committees will consist of members, with one member being the same status as the complainant, and one committee member being the same status as the respondent.
- Individuals should decline participation on a hearing committee if they have a conflict of interest or bias.



#### **Notes:**

# 2.23 Section Wrap-Up 2

# Section Wrap-Up, continued

- Every hearing will follow a similar agenda: Personal statements, witness evidence, committee Q&A, advisor questioning, sanction recommendation, committee deliberation, and report generation.
- As part of the hearing process, the committee will be able to ask questions to the complainant and respondent about the complaint. Any questioning, regardless of the type of alleged discrimination, should be relevant to determining whether a policy violation occurred.
- During the hearing, parties will be able to cross-examine witnesses and the other party through the committee chair.
  - In sexual misconduct hearings, the complainant and respondent's chosen or appointed advisor will be responsible for conducting cross examination.
  - In hearings not involving sexual misconduct, the parties may ask questions directly to the committee chair if they do not have an advisor



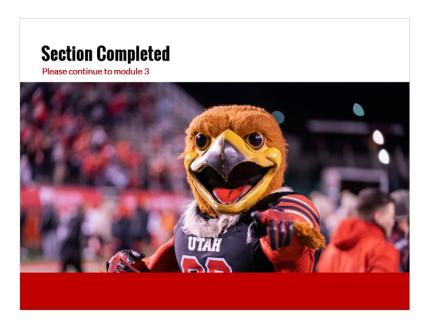
# 2.24 Section Wrap-Up 3

# Section Wrap-Up, continued

- The committee will deliberate to determine whether the evidence supports or does not support that discrimination or sexual misconduct has occurred.
- The Preponderance of the Evidence standard, which means "more likely than not," will be used to determine if a policy violation occurred.
- A majority vote will be required for all decisions about policy violations.
- Within 10 calendar days of the hearing, the committee will submit its written report to the parties, their advisors, and the OEO/AA. The report will contain its findings about whether or not a policy violation occurred, and if so, the appropriate disciplinary sanction or corrective measures to be implemented, and any remedies for the complainant that are reasonable in light of the circumstances



# 2.25 Section Completed



# 3. Understanding Trauma

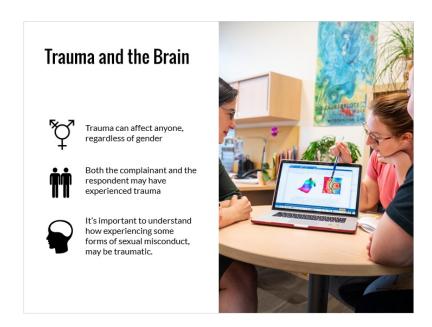
# 3.1 Module 3 Understanding Trauma



# **Hearing Committee Training**

Module 3 Understanding Trauma

#### 3.2 Trauma and the Brain



#### **Notes:**

Part of the deliberation process will be considering the testimony of individuals who alleged to have experienced the discrimination at the heart of the investigation. As a result, you may be hearing the testimony of individuals who may have experienced forms of sexual misconduct, including acts of acts of sexual violence, stalking, and intimate partner violence.

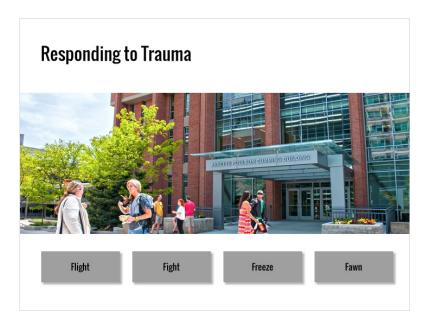
Trauma can affect anyone, regardless of gender, and can affect both the complainant and respondent involved in a complaint.

It's important to understand how experiencing some forms of sexual misconduct, such as sexual and intimate partner

violence, to name a few, may be traumatic, and how trauma impacts the brain.

When the brain detects threats, it releases hormones that flood the body, activating automatic behavioral defensive strategies such as fight, flight, freeze or fawn responses.

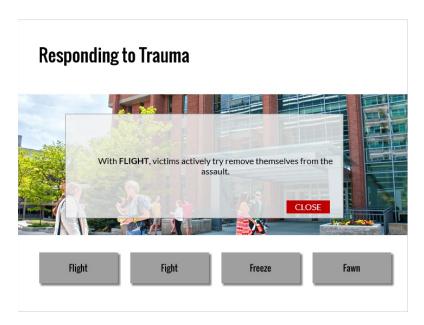
#### 3.3 Responding to Trauma



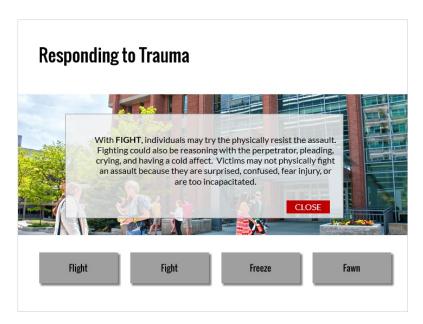
#### Notes:

Click on each item to understand common responses to trauma.

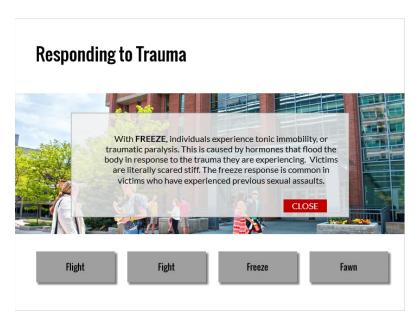
# Flight (Slide Layer)



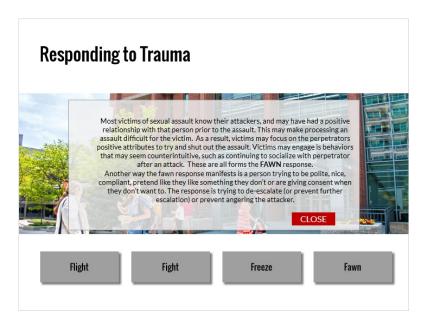
# Fight (Slide Layer)



# Freeze (Slide Layer)



# Fawn (Slide Layer)



#### 3.4 Responding to Trauma,

#### continued



# Responding to Trauma, continued

- Increased levels of stress hormones impair functioning of the brain, which can also lead to fragmented memories of the events, especially when combined with alcohol or other chemical consumption, which can also affect memory storage.
- Understand that a wide range of emotions and responses is normal after experiencing sexual violence. Because a victim does not appear emotional, does not mean they are not feeling emotional. Some victims/survivors of trauma may have a flat affect, may cry, may laugh, or may present with a wide variety of responses when dealing with or discussing the traumatic event.
- These trauma responses last and can be triggered throughout a person's life- day, weeks, years after the experience. As committee members, you may be presented with how the complainant behaved after, not just during the alleged encounter or encounters.

#### Notes:

Increased levels of stress hormones impair functioning of the brain, which can also lead to fragmented memories of the events, especially when combined with alcohol or other chemical consumption, which can also affect memory storage.

Understand that a wide range of emotions and victim responses is normal after experiencing intimate partner violence. Because a victim does not appear emotional, does not mean they are not feeling emotional. Some victims/survivors of trauma may have a flat affect, may cry, may laugh, or may present with a wide variety of responses when dealing with or discussing the traumatic event.

These trauma responses last and can be triggered throughout a person's life- day, weeks, years after the experience. As committee members, you may be presented with how the complainant behaved after, not just during the alleged encounter or encounters.

# 3.5 How Trauma Affects Memory



#### 3.6 Myths about Sexual Assault

# Myths about Sexual Assault

Myth 1: Sexual assault and rape victims are always hysterical, emotional or crying following an attack.

The Facts: Sexual assault and rape victims may go through a wide range of emotions and responses following an attack, and just because a victim does not outwardly appear emotional does not mean she or he is not emotional.

Myth 2: Contradictory, partial or inaccurate statements mean the victim is lying. The Facts: Stress, the consumption of drugs or alcohol (knowingly or unknowingly), discomfort and trauma may cause a victim to have difficulty clearly recounting the series of events surrounding their attack. Studies have shown that traumatic memories encode in our brains differently, mainly as unconnected, sensory, emotional fragments, which can lead to memory gaps and loss.

From: The Facts Behind 8 Rape and Sexual Assault Myths, Campus Safety



#### 3.7 Myths about Sexual Assault, 2

# Myths about Sexual Assault, continued

Myth 3: Avoiding eye contact, shifting in a chair or showing discomfort means a sexual assault victim is lying.

**The Facts:** Sexual assault and rape victims may be uncomfortable because they cannot recall everything clearly or answer every question, causing them to stammer when speaking, fidget in their chair or avoid eye contact as they strain to recall or feel shame.

Myth 4: If the victim didn't fight back or resist the assailant, she or he consented or the sexual activity was mutual and not forced.

The Facts: Sexual assault is a trauma that can cause the body to activate defensive strategies, such as freezing or appeasing the attacker. The freeze response is called "tonic-immobility" and is triggered by a flood of hormones that activate in response to a threat. There are many reasons why victims may not physically resist

From: The Facts Behind 8 Rape and Sexual Assault Myths, Campus Safety



# 3.8 Myths about Sexual Assault, 3

# Myths about Sexual Assault, continued

Myth 7: All sexual assault victims are female, all perpetrators are male and sexual assaults only occur in the heterosexual population.

Other myths, such as the beliefs that the consequences of sexual assault are lesser for men than woman, and that women are non-violent, further act as barriers to an effective response. The Facts: Sexual violence, including assault and rape, occurs in the LGBTQ community at similar rates to the straight community, and data from the National Intimate Partner and Sexual Violence Survey suggests around 1.7 percent of men are sexually assaulted in their lifetime.

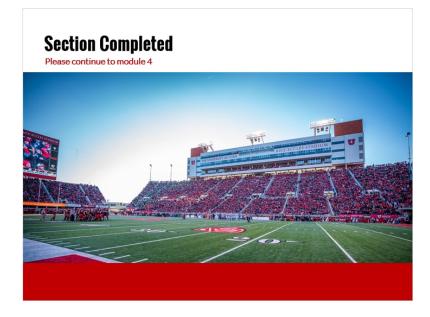
 $\label{thm:members} \mbox{Men and members of the LGBTQ community may be less likely to report sexual assault for the following reasons:}$ 

- · Distrust of law enforcement
- · The perceived impact on their masculinity
- · Fear of being "outed" and homophobia
- · Real or perceived belief that support structures are not geared toward them

From: The Facts Behind 8 Rape and Sexual Assault Myths, Campus Safety



# 3.9 Section Completed



# **4.** The Hearing Chair and Coordinator

# 4.1 Module 4 The Hearing Coordinator and Hearing Chair



# **Hearing Committee Training**

Module 4
The Hearing Coordinator and
Hearing Chair

# **4.2 The Hearing Coordinator**

# The Hearing Coordinator

- Serving as the Secretary to the committee;
- Notifying the complainant, the respondent, the committee, and OEO of the time and place of the hearing;
- Notifying the complainant and the respondent of the names of the committee members and any witnesses requested by the complainant, the respondent, or the committee;
- Distributing the hearing procedure and any documentary evidence to the complainant and the respondent;
- Making appropriate technical arrangements, and, when requested as outlined below, appropriate physical accommodations;
- Arranging for an electronic audio and video recording of the hearing;
- And providing other reasonable support services at the committee's request.



#### 4.3 The Hearing Chair



# The Hearing Chair

- Officiate and conduct the hearing.
- Ensure the hearing is held in accordance with university policy. The OGC advising attorney will provide guidance.
- Provide leadership and members are aware of their obligations and that the committee complies with its responsibilities.
- Work with the hearing coordinator to ensure that the parties are informed of the remaining time they each have allotted for their presentations.
- Ensure that the hearing remains professional and that all participants are respectful of the hearing process and those that are participating in the hearing.

#### Notes:

Every hearing committee will have a chair to lead the group.

The chair is an individual who has participated previous hearings. If, after you have participated in a hearing, and you would like to participate in another hearing as a chair, make sure to let the hearing coordinator know so you can be considered for this role in the future. The chair's

responsibility is to ensure that hearing runs smoothly and ensure that all points of view are hear.

The chair typically drafts the committee's recommendations, circulates the recommendations through the committee. The chair provide the decision to the hearing coordinator who can issue the decision to the parties.

Officiate and conduct the hearing.

Ensure the hearing is held in accordance with university policy. The OGC advising attorney will provide guidance.

Provide leadership and members are aware of their obligations and that the committee complies with its responsibilities.

Work with the hearing coordinator to ensure that the parties are informed of the remaining time they each have allotted for their presentations.

Ensure that the hearing remains professional and that all participants are respectful of the hearing process and those that are participating in the hearing.

# 4.4 Questioning in Hearing



#### Notes:

One of the most important roles of the chair will be to manage the questioning of the parties. Questions should be directed to the committee chair. The chair will ensure that questions asked of the other party are relevant and appropriate to the inquiry. The chair can confer with the OGC advising attorney if they are not sure whether or not allow the other party to respond to the question.

# 4.5 Section Wrap-Up

# **Section Wrap-Up**

- · A hearing coordinator facilitates the work of the committee.
- The hearing coordinator will be an administrative support employee from either OGC or OEO.
- $\bullet\,$  The coordinator serves as the secretary to the committee.
- The coordinator notifies the parties, committee, and OEO/AA of the time and place of the hearing.
- The chair makes appropriate technological arrangements, and, when requested, appropriate physical accommodations.



#### **Notes:**

# 4.6 Section Wrap-Up 2

# Section Wrap-Up, continued

- Every hearing committee will have a chair to lead the group.
- The chair is an individual who has participated in previous hearings.
- · The chair will ensure the hearing is held in accordance with
- The chair will ensure that the hearing remains professional at all times
- The chair will manage the questioning of the parties and witnesses.
- $\bullet\,$  The chair will draft the committee's findings.



# 4.7 Section Completed



# 5. Video Conferencing and FAQs

# **5.1 Module 5 Technical Training and FAQs**



# Hearing Committee Training Module 5

Module 5
Technical Training and FAQs

#### 5.2 Video Conferencing

# **Video Conferencing**

Audio/Video Set-Up: if possible, do a test of audio and video on your computer to make sure speakers, microphones, and cameras all work. Set up your camera so that your face can clearly be seen.

Location: find a location to participate in the hearing where you can give your full attention to the hearing and won't be distracted by environmental factors like talking, animal noises, etc. You also may want to use the features provided by the conferencing software to create a background if you don't want individuals to see your physical location.

Dress and Decorum: even though you may be participating in a hearing from your home or other nonwork location, it is important to remember that this is a formal university activity, and dress appropriately. If you wouldn't show up to work wearing your pajamas, don't sign-on dressed in pajamas.



#### Notes:

Most hearings will take place hearing through the video conferencing.

The committee coordinator will be responsible making arrangements for the video conference and managing the technical aspects during the hearing.

Here are some tips to ensure a successful hearing takes place.

Audio/Video Set-Up: if possible, do a test of audio and video on your computer to make sure speakers, microphones, and cameras all work. Set up your camera so that you face can clearly be seen.

Location: find a location to participate in the hearing where

you can give your full attention to the hearing and won't be distracted by environment factors like talking, animal noises, etc. You also may want to use the features provided by the conferencing software to create a background if you don't want individuals to see your physical location.

Dress and Decorum: even though you may be participating in a hearing from your home or other non-work location, it is important to remember that this is formal university activity, and dress appropriately. If you wouldn't show up to work wearing your pajamas, don't sign-on on dressed in pajamas.

#### 5.3 Video Conferencing Education

# **Video Conferencing Education**

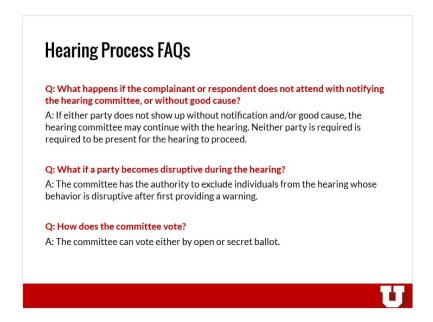
- Zoom 101: <a href="https://youtu.be/qsy2Ph6kSf8">https://youtu.be/qsy2Ph6kSf8</a>
- Joining a Meeting & Configuring Audio: <a href="https://youtu.be/s76QHshQnY">https://youtu.be/s76QHshQnY</a>
- Meeting Controls: <a href="https://youtu.be/ygZ96J\_z4AY">https://youtu.be/ygZ96J\_z4AY</a>
- Sharing Your Screen: <a href="https://youtu.be/YA6SGQIVmcA">https://youtu.be/YA6SGQIVmcA</a>
- Zoom Waiting Rooms: https://youtu.be/ntaT7KEcids
- Video Breakout Rooms: https://youtu.be/jbPpdyn16sY



#### Notes:

Here are some instruction videos on using Zoom, the University of Utah's preferred video conferencing software for hearings.

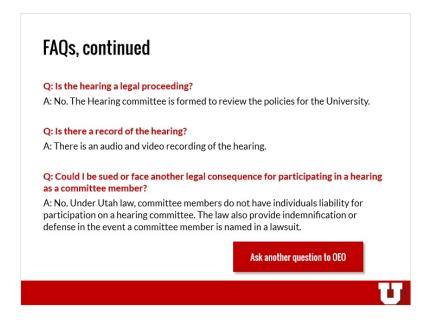
# **5.4 Hearing Process FAQs**



#### **Notes:**

Here are some instruction videos on using Zoom, the University of Utah's preferred video conferencing software for hearings.

# 5.5 FAQs, continued



#### **Notes:**

Here are some instruction videos on using Zoom, the University of Utah's preferred video conferencing software for hearings.

# 5.6 Training Completed

